



### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Iggulden, et al.

Serial No.:

09/384,468

Filing Date:

August 27, 1999

For:

METHOD AND APPARATUS FOR CUEING A PRE-

RECORDED VIDEOTAPE

Examiner:

not yet assigned

Art Unit:

2712

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

Signature

COMMISSIONER OF PATENTS WASHINGTON, D.C. 20231

RECEIVED

FEB 1 2 2003

Dear Sir:

Technology Center 2600

Transmitted herewith are the following documents:

- 1. Letter to Examiner;
- 2. Request for Correction of Filing Receipt;
- 3. Preliminary Amendment;
- 4. Revocation of Existing Power of Attorney and Appointment of New Power of Attorney;
- 5. Certificate under 37 C.F.R. 3.73(b);
- 6. Assignment; and
- 7. Return Receipt Postcard.

If the enclosed papers are considered incomplete, the Mail Room and/or the Application Branch is respectfully requested to contact the undersigned at (617) 720-3500, Boston, Massachusetts.

No fee is believed to be due at this time. If any fee is due it may be charged to the account of the undersigned, Deposit Account No. 23/2825.

Respectfully submitted,

Iggulden, et al., Applicants

Bv: 🖊

Neil P. Ferraro, Reg. No. 39,188

Wolf, Greenfield & Sacks, P.C.

600 Atlantic Avenue

Boston, Massachusetts 02210-2211

Telephone: (617) 720-3500

Date: January 6, 2003

668396



# Attorney Docket D00607.70007.US

#4 2-21-03 K

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#### CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

The undersigned hereby certifies that this document is being placed in the United States mail with first-class postage attached, addressed to Commissioner for Patents, Washington, D.C. 20231, on the \_\_\_\_\_ day of January, 2003.

Signature

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Commissioner for Patents Washington, D. C. 20231

FEB 1 2 2003

Technology Center 2600

Sirs:

#### LETTER

When the above-captioned patent application was filed, the transmittal letter (attached as Exhibit A) inadvertently listed the application as a **Continuation-In-Part** of U.S. Application Serial No. 08/573,433. Rather, the transmittal letter should have stated that the application is a **Continuation** of U.S. Application Serial No. 08/573,433. A comparison of the text of the present application and the text of U.S. Patent Application 08/573,433 (now U.S. Patent 5,987,210) confirm this error.

Furthermore, the filing receipt incorrectly listed the continuing data stated in the patent application. The filing receipt states that U.S. Application No. 08/177,813 "is a CIP of 08/002,782 01/08/93 PAT 5,333,091 which is a CIP 08/103,067 08/06/03 PAT 5,455,630." The filing receipt should be corrected to state that U.S. Application Serial No. 08/177,813 is a Continuation-In-Part to U.S. Application Serial No. 08/002,782 and U.S. Application Serial No. 08/103,067 as recited in the patent application. Therefore, enclosed is a Request for Correction

of Filing to correct the continuing data.

A Preliminary Amendment is also submitted herewith to include the proper cross-reference to the copending application 08/573,433.

Also submitted herewith are executed Revocation of Power of Attorney and Appointment of New Power of Attorney documents. In view of the fact that the above-identified application is a Continuation to U.S. Application Serial No. 08/573,433, rather than a Continuation-In-Part, the assignment for the parent application is applicable, and, as such, evidences the fact that the Assignee, SRT, Inc. has the authority to appoint a new attorney.

If there are any questions concerning this request, please contact Neil Ferraro at the address or phone number listed below.

Respectfully submitted, *Iggulden, et al., Applicants* 

A

Neil P. Ferraro, Reg. No. 39,188

WOLF, GREENFIELD & SACKS, P.C.

600 Atlantic Avenue Boston, MA 02210

Telephone: (617)-720-3500

Date: January \_ 6, 2003



# Attorney Docket D00607.70007.US

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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The undersigned hereby certifies that this document is being placed in the United States mail with first-class postage attached, addressed to Commissioner for Patents, Washington, D.C. 20231, on the \_\_\_\_\_ day of January, 2003.

Signature

Commissioner for Patents Washington, D. C. 20231

Technology Center 2600

Dear Sirs:

#### REQUEST FOR CORRECTION OF FILING RECEIPT

Enclosed is a copy of the filing receipt with corrections marked in red ink in which the continuing data is incorrect. Please change the continuing data and issue a new filing receipt. It is respectfully submitted that the transmittal letter (attached hereto as Exhibit A) submitted with the above-referenced application inadvertently listed this application as a Continuation-In-Part of U.S. Application Serial No. 08/573,433 when the transmittal should have stated that the above-referenced application is a **Continuation** of U.S. Application Serial No. 08/573,433.

Furthermore, the filing receipt of this application incorrectly listed the continuing data stated in the patent application. The filing receipt states that U.S. Application No. 08/177,813 "is a CIP of 08/002,782 01/08/93 PAT 5,333,091 which is a CIP of 08/103,067 08/06/93 PAT 5,455,630." The filing receipt should be corrected to state that U.S. Application Serial No. 08/177,813 is a Continuation-In-Part to U.S. Application Serial No. 08/002,782 and U.S. Application Serial No. 08/103,067.

Respectfully submitted,

Iggulden, et al, Applicants

her Horis

Neil P. Ferraro, Reg. No. 39,188

WOLF, GREENFIELD & SACKS, P.C.

600 Atlantic Avenue Boston, MA 02210

Telephone: (617)-720-3500

Date: January

668378



Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

Attorney Docket No. 005252.P027C Total Pages
(all document) 77

# PATENT APPLICATION **TRANSMITTAL**

First Named Inventor or Application Identifier

IGGULDEN, ET AL.

(Only for new nonprovisional applications under 37 CFR 1.53(b))

EM560887697US Express Mail Label No.

		APPLICATION ELEMENTS See MPEP chapter 600 concerning utility patent application contents.	Addi	RESS ]	o:	Assistant Box Pater Washingt	nt Applic				
1.	$\boxtimes$	Fee Transmittal Form (Submit an original, and a duplicate for (se processing)	6.					ram (Appendix)			
2.	$\boxtimes$	Specification Total Pages 48 (preferred arrangement set forth below)	7.		lucleotide & <i>l applicable, a</i>			equence Submission			
		<ul> <li>Descriptive Title of the Invention</li> <li>Cross References to Related Applications</li> <li>Statement Regarding Fed sponsored R&amp;D</li> </ul>	i	a. [ b. [ c. [	Paper (	ter Read Copy (ide ent verif	entical t	opy o computer copy) entity of above			
		- Reference to Microfiche Appendix			•						
		- Background of the Invention						TION PARTS			
		<ul> <li>Brief Summary of the Invention</li> <li>Brief Description of the Drawings (if filed)</li> </ul>	8. 9.	□ 3	ssignment 7 CFR 3.73( tatement		cover sh	eet & document(s)) Power of Attorney			
		- Detailed Description - Claims	10.	(f	when there in nglish Tran	slation De	ocument	(if applicable)			
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3. 4.		Drawing(s) (35 USC 113) Total Sheets 12 Oath or Declaration Total Pages 7	12.		Statement (I reliminary A			Citations			
	a	. Newly executed (original or copy)			eturn Recei			EP 503)			
	b	Copy from prior application (37 CFR 1.63(d)) (for continuation/divisional with Box 17 completed)	14.		mall Entity tatement(s)		Staten Status	nent filed in prior app still proper and			
	•	(Note Box 5 below) i. DELETION OF INVENTOR(S)	15.		ertified Cop	y of Prior	desired rity Docu <i>aimed)</i>		YED		
		Signed statement attached deleting inventor(s) named in prior application,	16.	`			•	FEB 1 2 2	003		
		see 37 CFR 1.63(d)(2) and 1.33 (b).		*****	······	***************************************		TL			
5.	U	Incorporation By Reference (usable if Box 4b is checked) The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the				•••••••••••	***************************************	Technology Cen	er 2600		
		accompanying application and is hereby incorporated by reference therein.									
17.	If a	CONTINUING APPLICATION, check appropriate box and supp	ly the	reauis	ite informati	ion:					
☐ Continuation ☐ Divisional ☑ Continuation-in-part (CIP) of prior application no: 08/573,433											
18.	COF	RESPONDENCE ADDRESS							1		
NAME: George W Hoover Blakely, Sokoloff, Taylor & Zafman LLP ADDRESS: 12400 Wilshire Boulevard, 7th Floor											
CIT		SS: 12400 Wilshire Boulevard, 7th Floor Los Angeles STATE	<b>=</b> •	Ca	lifornia		ZIP:	90025-1026			
Co	UNT	RY: USA TELEF	HON	IE: (3	0)207-38	00	FAX:	(310)820-5988			
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On the amount of time required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

PTO/SB/17 (12/97)

Approved for use through 09/30/00 OMB 0651-0032
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. DELMOIN THAD Under the

Complete If Known FEE TRANSMITTAL Application Number NOT ASSIGNED Filing Date 08/27/1999 Note: Effective October 1, 1997 First Named Inventor IGGULDEN, ET AL Group Art Unit Patent fees are subject to annual revision NOT ASSIGNED Examiner Name NOT ASSIGNED TOTAL AMOUNT OF PAYMENT (\$)904.00 Attorney Docket Number 005252.P027C

METHOD OF PAYMENT (check one)			FEE C	ALCU	LATION (continued RECEIVED				
1.   The Commissioner is hereby authorized to	3.	Additional	Feee		HECEIVED				
charge indicated fees & credit any overpayments to:	] ' '	tuuitiullai	rees		550 4 4 4				
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Charge any add liee Charge issue fee set in 37 CFR 1.18 at the mailing of the Notice of Allowance	105	130	205	65	Surcharge-late filing fee-oc.oath				
CFR 1.16 & 1.17	127	50	227	25	Surcharge-late provisional filing fee or cover sheet				
	139	130	139	130	Non-English specification				
2. 🛛 Payment Enclosed:	147	2520	147	2520	For filing a request for reexamination				
☑ Check ☐ Money Order ☐ Other	112	920*	112	920*	Requesting publication of SIR prior to Examiner action				
	113	1840*	113	1840*	Requesting publication of SIR after Examiner action				
Fee Calculation	115	110	215	55	Extension for reply within tst month				
	116	380	216	190	Extension for reply within 2nd				
1. Filling Fee	117	870	217	435	Extension for reply within 3rd				
	118	1360	218	680	month Extension for reply within 4th month				
Small Fee Large Entity Entity Paid	128	1850	228	925	Extension for reply within 5th month				
Code Fee (\$) Code Fee (\$) Fee Description	119	300	219	150	Notice of Appeal				
101 760 201 380 Utility filing fee 760	120	300	220	150	Filing a brief in support of appeal				
106 310 206 155 Design filing fee	121	260	221	130	Request for oral hearing				
107 480 207 240 Plant filing fee	138	1510	138	1510	Petition to institute a public use				
108 760 208 380 Reissue filing fee	140	110	240	55	proceeding Petition to Revive-unavoidable				
114 150 214 75 Provisional filing fee	141	1210	241	605	Petition to Revive-unintentional				
Subtotal (1) (\$)760.00	142	1210	242	605	Utility issue fee (or reissue)				
2. Claims	143	430	243	215	Design issue fee				
Extra Fee from Below Fee	144	580	244	290	Plant issue fee				
Total 25 20 - 5 X Paid 90	122	130	122	130	Petitions to the Commissioner				
Claims Ind. 6 3 - 3 X 54	123	50	123	50	Petitions related to provisional applications				
Claims Multiple Dependent	126	240	126	240	Submission of IDS				
Claims Small	581	40	581	40	Recording each patent				
Large Entity Entity Code Fee (\$) Code Fee (\$) Fee Description	146	760	246	380	assignment per property Filing a submission after final				
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103 18 203 9 Claims in excess of 20 102 78 202 39 Independent claims in excess of 3	'''	700	247	,,,,	examined				
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SUBMITTED BY: BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP	<u> </u>			T	COMPLETE (if applicable)				
Name GEORGE W HOOVER					Reg. Number 32,992				
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Signature Clarge W & Some	Date	August	27, 1999	De	posit Acct User ID				

Birden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the incividual case. Any comments on the amount of time required to complete this form should be sent to the Chief Information Officer, Patent and Trademant Office, Warhington DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SETD TO: Assist the Commissioner for Patents, Washington, DC 20231.

<sup>\*</sup>Highest number of claims previously paid for if an amendment is being transmitted.

PTO-103X (Rev. 6-99) FILING RECEIP



UNITED STATES L. TANTMENT OF COMMERCE Patent and Trademark Office **ASSISTANT SECRETARY AND COMMISSIONER** OF PATENTS AND TRADEMARKS Washington, D.C. 20231

**DRWGS** TOT CL IND CL FIL FEE REC'D ATTORNEY DOCKET NO. APPLICATION NUMBER GRP ART UNIT 25

09/384,468 08/27/99 2712

\$1,084.00 005252.P027C

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GEORGE W HOOVER BLAKELY SOKOLOFF TAYLOR & ZAFMAN LLP 12400 WILSHIRE BOULEVARD 7TH FLOOR LOS ANGELES CA 90025-1026



BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LOS ANGELES

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts of Application" ("Missing Parts Notice") in this application, please submit any corrections to this Filing Receipt with your reply to the "Missing Parts Notice." When the PTO processes the reply to the "Missing Parts Notice," the PTO will generate another Filing Receipt Incorporating the requested corrections (if appropriate).

Applicant(s)

JERRY IGGULDEN, SANTA CLARITA, CA; KYLE FIELDS, SACRAMENTO, CA; ALAN MCFARLAND, NORTH HOLLYWOOD, CA.

CONTINUING DATA AS CLAIMED BY APPLICANT-

THIS APPLN IS A CE P OF 08/573,433 12/15/95 08/304,781 09/12/94 PAT 5,696,866 WHICH IS A CIP OF 08/177,813 01/04/94 PAT 5,692,093 WHICH IS A CIP OF PAT 5,333,091 08/002,782 01/08/93

WHICH IS A CIP OF 08/103,067 08/06/93 HIS A CIP OF

PAT 5,455,630

IF REQUIRED, FOREIGN FILING LICENSE GRANTED 09/13/99 TITLE

METHOD AND APPARATUS FOR ELIMINATING TELEVISION COMMERCIAL MESSAGES

PRELIMINARY CLASS: 356

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FEB 1 2 2003

Technology Center 2600

DATA ENTRY BY: BROWN, ROSCOE

03 DATE: 09/13/99

(See reverse for new important information)